

Ohio Department of Alcohol
and Drug Addiction Services
Two Nationwide Plaza
280 N. High Street, 12th Floor
Columbus, Ohio 43215-2537



Bob Taft, Governor
Luceille Fleming, Director

January 14, 2002

Tri-State Rehabilitation and Counseling Program Inc.
Kids Helping Kids
Attn: Executive Director
6070 Branch Hill-Guinea Pike
Milford, Ohio 45150

Subject: Seq. # 03234

Dear Director:

Enclosed is/are the Certificate(s) to Operate an Alcohol and Drug Addiction Program(s).

Corrective Action is required for all noted deficiencies. Verification of corrective action, excluding those items containing client identifying information, should be mailed to the Division of Quality Improvement by March 31, 2002.

Corrective Action for remaining deficiencies will be evaluated during a follow-up site visit which must be completed by April 30, 2002. Please contact Drew Palmiter at (614) 752-8851 to schedule a follow-up visit.

***NOTE:** The follow-up visit will only be conducted if verification of corrective action submitted to the Division of Quality demonstrates substantial compliance with those standards identified in the deficiencies.

If you close a program at the site listed on the certificate or move the program to another site, the certificate is void and is to be returned to the Division of Quality Improvement.

Questions concerning program certification should be directed to the Division of Quality Improvement at 614/644-9141.

Sincerely,



Michele A. Frizzell, Chief
Division of Quality Improvement

Enc. a/s

cc: Executive Director
Clermont County ADAMHS Board

Mary Orin, Compliance & Certification Coordinator
Nick Humenay, Compliance & Certification Coordinator
Drew Palmiter, Quality Management Specialist

MAF:sdc

**Ohio Department of Alcohol
and Drug Addiction Services**

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280 N. High Street, 12th Floor
Columbus, Ohio 43215-2537



Bob Taft, Governor
Luceille Fleming, Director

January 14, 2002

Clermont County ADAMHS Board
Attn: Executive Director
The Wasserman Building
1088 Wasserman Way, suite B
Batavia, Ohio 45103

NOTICE OF CONDITIONAL PROGRAM CERTIFICATION

Dear Executive Director:

Enclosed is the Program Certification Inspection Report for the review of Tri-State Rehabilitation and Counseling Program Inc. conducted by the Compliance and Certification Unit on January 8, 2002.

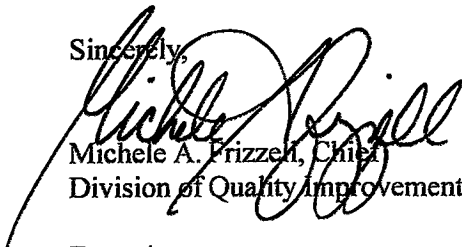
The following program(s) have been placed in a Conditional Certification status:

<u>SEO#</u>	<u>SITE ADDRESS:</u>	<u>PROGRAM TYPE:</u>
03234	6070 Branch Hill-Guinea Pike Milford, Ohio 45150	Outpatient

All deficiencies must be corrected per OAC 3793:2-1-01 (F)(2) within 90-days of the conditional certification. Non-compliance will result in the program being placed in a non-certified status. Please assist the program(s) to achieve compliance.

If you have any questions concerning this matter, please contact the Division of Quality Improvement at (614) 644-9141.

Sincerely,



Michele A. Frizzell, Chief
Division of Quality Improvement

Enc. a/s

cc: Tri-State Rehabilitation and Counseling Program Inc.
Mary Orin, Compliance & Certification Coordinator
Nick Humenay, Compliance & Certification Coordinator
Drew Palmiter, Quality Management Specialist
Terri Willis, Community Planner

MAF:sdC



**DIVISION OF QUALITY IMPROVEMENT
PROGRAM CERTIFICATION REPORT**

Date(s) of Review: January 8, 2002

Name of Reviewer(s): Mary Orin & Nick Humenay

PROGRAM OWNER:

Tri-State Rehabilitation and Counseling Program, Inc.
6070 Branch Hill-Guinea Pike
Milford, Ohio 45150
County: Clermont
Telephone Number: (513) 575-7300

PROGRAM SITE(S):

Kids Helping Kids
SEQ #: 03234
6070 Branch Hill-Guinea Pike
Milford, Ohio 45150
County: Clermont
Telephone Number: (513) 575-7300

Type of Program: Outpatient Treatment Program
Number of Beds: n/a

PURPOSE AND METHODOLOGY

The purpose of this review was to assess the program's compliance with the Ohio Department of Alcohol and Drug Addiction Services' Standards for Alcohol and Drug Addiction Treatment Programs.

Services provided as identified by the agency are checked below:

- ✓ Assessment (3793:2-1-08)
- ✓ Crisis Intervention (3793:2-1-08)

Detox (3793:2-1-08)

✓ Individual and Group Counseling (3793:2-1-08)

Referral and Information (3793:2-1-08)

✓ Medical/Somatic (3793:2-1-08)

Drug Screening Urinalysis (3793:2-1-08)

Methadone Administration (3793:2-1-08)

✓ Case Management (3793:2-1-08)

✓ Intensive Outpatient (3793:2-1-08)

Agency policies and procedures, client records and personnel files were examined and an inspection of the program facilities was conducted.

The agency was represented at the exit interview by: Penny Walker, Executive Director, Michele Hoehn, Program Director, Sean Smith, Clinician, Carrie Behrend, Clinician, Pat Burfitt, Admissions, and Teresa Sittloh, LSW, Corporate Compliance Officer.

The following deficiencies were identified:

General Deficiencies

- OK 1. The program did not have a policy prohibiting an individual from supervising any person closely related by blood/marriage/other significant relationship/business associate, as required by OAC 3793:2-1-03(O)(16)
- OK 2. The program did not have admission/continued stay/discharge/referral to each level of care based on Department protocols, as required by OAC 3793:2-1-05(E).
- OK 3. The program did not have a procedure for terminating client services including terminating against advise of program, as required by OAC 3793:2-1-05(G)(8).
- OK 4. The program did not have a procedure for obtaining an assessment for each client admitted to the program including acceptance of an assessment performed within 90 days of admission date by another certified program, as required by OAC 3793:2-1-05(G)(10).

- OK 5. The program did not provide documentation in client records indicating that the program had referred or provided counseling and/or client education on exposure to, and the transmission of, tuberculosis, hepatitis B and C, and HIV disease, as required by OAC 3793:2-1-05(G)(14).
- OK 6. The program did not have a policy prohibiting the striking of a client/prospective client/client's family member/client's significant other, as required by OAC 3793:2-1-05(G)(15).
- OK 7. The program's service supervisors did not document comprehensive supervision services to treatment providers, as required by OAC 3793:2-1-05(K).
- OK 8. The program did not have written policies/procedures for access to client records by individuals other than clients/staff, as required by OAC 3793:2-1-06(D)(2).
- OK 9. The program did not have a policy/procedure regarding the retention and destruction of client records, as required by OAC 3793:2-1-06(D)(6).
- OK 10. The program did not have a client grievance procedure that included the requirement that written acknowledgment of receipt of the grievance is provided to each grievant within 3 working days, as required by OAC 3793:2-1-07(H)(8).

Site-Specific Deficiencies

Seq# 03234

- OK 1. The program did not have client files that contained an assessment that included current OTC/prescription drug use, allergies, including food/drug reactions, and recommendations for treatment, as required by OAC 3793:2-1-08(K)(3)(c), (f), and (r).
- OK 2. The program did not have client files that contained an ITP that contained the LOC to which the client was admitted, and frequency/duration/types of treatment services, as required by OAC 3793:2-1-06(K)(2), and (6).
- OK 3. The program did not have client files that contained a disclosure of information that included the type and amount of information to be disclosed, as required by OAC 3793:2-1-06(H)(5).
- OK 4. The program did not have client files that contained a disclosure of information that included the full statement regarding the prohibition of disclosure, as required by OAC 3793:2-1-06(I).

5. The program did not have client files that contained a termination summary that included the diagnosis, and level of care/services provided during the course of treatment, as required by OAC 3793:2-1-06(P)(4) and (P)(6).

6. The program did not have client files that contained the results of urinalysis that were initialed by staff/client, as required by OAC 3793:2-1-08(R)(1)(g).

Report prepared January 9, 2002 by:

Nicholas Humenay

Nicholas Humenay
Compliance and Certification Coordinator

Mary Orin

Mary Orin
Compliance and Certification Coordinator

January 11, 2002

Addendum: Kids Helping Kids

During a recent re-certification, it came to the attention of ODADAS surveyors that clients are restraining other clients in emergency situations. These clients, also known as "old timers", are afforded general information regarding safety in "take down" activities. While paid staff are involved, clients occasionally assist in the process. We are concerned about the safety of all involved parties. Should an injury occur, the issue of liability becomes paramount.

These concerns were discussed during the exit interview and the staff was receptive.

Bob Taft
Governor

Luella Fleming
Director

Ohio Department of Alcohol and Drug Addiction Services

CONDITIONAL



CONDITIONAL

CERTIFICATE TO OPERATE AN ALCOHOL AND DRUG ADDICTION PROGRAM ISSUED TO:

Owner/Operator
Tri-State Rehabilitation and Counseling Program Inc.
6070 Branch Hill-Guinea Pike
Milford OH 45150
Clermont County

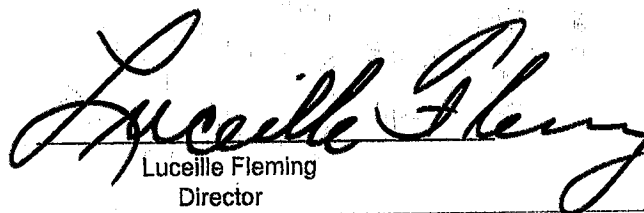
Program Sequence Number : 03234
Kids Helping Kids
6070 Branch Hill-Guinea Pike
Milford
Clermont County OH 45150

in accordance with section 3793.06 of the Ohio Revised Code and section 3793 of the Ohio Administrative Code. This certificate is not assignable or transferable to any operator or program site other than those listed hereon.

Program Type
Outpatient

Effective Date
02/01/2002

Expiration Date
04/30/2002


Luella Fleming
Director


Michele A. Frizzell, Chief
Division of Quality Improvement

Bob Taft
Governor

Ohio Department of Alcohol and Drug Addiction Services

Lucelle Fleming
Director



CERTIFICATE TO OPERATE AN ALCOHOL AND DRUG ADDICTION PROGRAM ISSUED TO:

Owner/Operator

Tri-State Rehabilitation and Counseling Program Inc.
6070 Branch Hill-Guinea Pike
Milford OH 45150
Clermont County

Program Sequence Number : 03234

Kids Helping Kids
6070 Branch Hill-Guinea Pike
Milford
Clermont County OH 45150

in accordance with section 3793.06 of the Ohio Revised Code and section 3793 of the Ohio Administrative Code. This certificate is not assignable or transferable to any operator or program site other than those listed hereon.

Program Type

Outpatient


Effective Date

03/11/2002

Expiration Date

01/31/2005


Lucelle Fleming
Director


Michele A. Frizzell, Chief
Division of Quality Improvement